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PATENT

Attorney Docket No. A-71386-7
Attorney Client Matter No. 463077-00243

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LAZAR et al.

Serial No. 10/672,280

Filed: September 26, 2003

For: *OPTIMIZED Fc VARIANTS AND
METHODS FOR THEIR
GENERATION*

Examiner: To Be Assigned

Art Group: 1632

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Services as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: March 9, 2005

Signature: *Carema Fujimoto*
Carema Fujimoto

PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Preliminary Amendment is being submitted to include additional claims. A check in the amount of \$375.00 for the additional claims is attached. The Commissioner is authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 50-2319 (File No. 463077-00243; Docket No. A-71386-7).

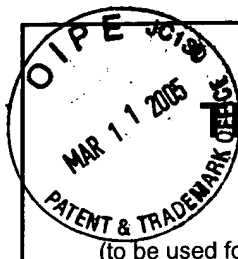
Please amend the identified application above as follows:

AMENDMENTS TO THE CLAIMS begin on page 2.

REMARKS begin on page 14.

03/14/2005 CCHAU1 00000044 10672280

01 FC:2202 175.00 OP
02 FC:2201 200.00 OP



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/672,280
Filing Date	September 26, 2003
First Named Inventor	Gregory Alan LAZAR
Examiner Name	To Be Assigned
Group Art Unit	1632
Total Number of Pages in This Submission	16
Attorney Docket No.	A-71386-7

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached (Check for \$375.00)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Preliminary Amendment (14 pgs.)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, No. of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Robin M. Silva, Reg. No. 38,304 DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111 Telephone : 415 781 1989	Customer Number 32940
Signature	<i>Robin M. Silva</i>	
Date	March 9, 2005	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:			03/09/05
Typed or printed name	Careyna Fujimoto		
Signature	<i>Careyna Fujimoto</i>	Date	03/09/05

Effective on 12/08/04

Complete if Known

Application No.	10/672,280
Filing Date	September 26, 2003
First Inventor	Gregory Alan Lazar
Group Art Unit	1632
Examiner Name	To Be Assigned
Atty. Docket Number	A-71386-7

FREE TRANSMITTAL SHEET (FY 2005)

☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

METHOD OF PAYMENT (Check One)

☒ Check ☐ Credit Card ☐ Money Order
☐ Deposit Account No.: 50-2319
 Deposit Account Name: **DORSEY & WHITNEY LLP**
 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☒ Charge any additional fees or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17
☒ Credit any overpayments
☐ Other (please identify):

Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total 86	- 79	= 7	x \$25	= \$175.00
Ind. 11	- 9	= 2	x \$100	= \$200.00
Multiple Dependent Claims				x \$ = \$
Total (Extra Claims)				\$375.00

Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ **Petition Fee under 37 CFR 1.17(f) Fee \$400**
 § 1.53(e) to accord a filing date.
 § 1.57(a) to accord a filing date.
 § 1.182 for decision on a question not provided for.
 § 1.183 to suspend the rules.
 § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
 § 1.174(b) to accord a filing date to an application under § 1.740 for extension of patent term.
- ☐ **Petition Fee under 37 CFR 1.17(g) Fee \$200**
 § 1.12 for access to an assignment record.
 § 1.14 for access to an application.
 § 1.47 for filing by other than all inventors or person not the inventor.
 § 1.59 for expungement of information.
 § 1.103(a) to suspend action in an application.
 § 1.136(b) for review of a request for ext. of time when § 1.136(a) not avail.
 § 1.295 for review of refusal to publish a statutory invention registration.
 § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
 § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
 § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
 § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
 § 5.12 for expedited handling of foreign filing license.
 § 5.15 for changing the scope of a license.
 § 1.5.25 for retroactive license.
- ☐ **Petition Fee under 37 CFR 1.17(h) Fee \$130**
 § 1.19(g) to request documents in a form other than provided in this part.
 § 1.84 for accepting color drawings or photographs.
 § 1.91 for entry of a model or exhibit.
 § 1.102(d) to make an application special.
 § 1.138(c) to expressly abandon an application to avoid publication.
 § 1.313 to withdraw an application from issue.
 § 1.314 to defer issuance of a patent.

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1,020	510	Extension for reply within third month	
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	
790	395	Submission After Final 1.129	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
130	65	Terminal Disclaimer Fee	
800	400	Design Issue Fee	
790	395	Request for Continued Examination (RCE)	
130		Request for voluntary publication or republication	
500	250	Petition to Revive - unavoidable	
1,500	750	Petition to Revive - unintentional	
200		Filing for patent term adjustment	
400		Request for reinstatement of term reduced	
1,120		Extension of term of patent	

OTHER FEE (specify)

Subtotal (Additional Fees)

Total Amount of Payment: \$375.00

Submitted by:

CUSTOMER NUMBER
32940

DORSEY & WHITNEY LLP

Name: **Robin M. Silva**Phone No.: **415-781-1989**Fax No.: **415-398-3249**

Signature:

Reg. No.: **38,304**Date: **March 9, 2005**